



Enhanced Competency Framework (ECF) on Retail Wealth Management 2019 Training and Examination Schedule (2nd Intake)

Training - IA CPD - HKIB CPD - SFC CPT - ECF CPD - WAM Programme²

Module Name	Module No	Application Type	Code	Date	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training	HQRM001PP190503	7, 14, 21, 28 May; 4 Jun (Tue)	19:00 – 22:00	30 Apr (Tue)	3,750 ¹
		Examination	EXAM-2019-00144	22 Aug (Thu)	19:00 - 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00146	5 Oct (Sat)	TBC	6 Sep (Fri)	
Investment Planning (Credit: 20)	2	Training	HQRM002PP190602	12, 19, 26 Jun; 3, 10 Jul (Wed)	19:00 – 22:00	5 Jun (Wed)	3,750 ¹
		Examination	EXAM-2019-00147	22 Aug (Thu) ⁴	19:00 - 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00160	5 Oct (Sat)	TBC	6 Sep (Fri)	
Essentials of Banking (Credit: 20)	3	Training	HQRM003PP190504	9, 16, 27, 31 May (Thu, Mon, Fri) 11 Jun (Tue)	19:00 – 22:00	2 May (Thu)	3,750 ¹
		Examination	EXAM-2019-00130	6 Jul (Sat) ⁴	14:30 – 17:00	6 Jun (Thu)	1,020 ¹
			EXAM-2019-00148	2 Aug (Fri)	19:00 – 21:30	3 Jul (Wed)	
			EXAM-2019-00149	2 Sep (Mon)	19:00 – 21:30	2 Aug (Fri)	
Insurance and Retirement Planning (Credit: 20)	4	Training	HQRM004PP190502	3, 10, 17, 24, 31 May (Fri)	19:00 – 22:00	26 Apr (Fri)	3,750 ¹
		Examination	EXAM-2019-00151	22 Aug (Thu)	19:00 – 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00154	5 Oct (Sat)	TBC	6 Sep (Fri)	
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training	HQRM005PP190601	1, 8, 15, 22, 29 Jun (Sat)	10:00 – 13:00	24 May (Fri)	3,750 ¹
		Examination	EXAM-2019-00155	5 Sep (Thu)	19:00 – 21:30	6 Aug (Tue)	1,020 ¹
			EXAM-2019-00156	5 Oct (Sat)	TBC	6 Sep (Fri)	
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training	HQRM006PP190701	6, 13, 20, 27 Jul (Sat); 30 Jul (Tue)	10:00 – 13:00 19:00 – 22:00	28 Jun (Fri)	3,750 ¹
		Examination	EXAM-2019-00157	16 Aug (Fri) ⁴	19:00 - 21:30	17 Jul (Wed)	1,020 ¹
			EXAM-2019-00158	6 Sep (Fri) ⁴	19:00 - 21:30	7 Aug (Wed)	
			EXAM-2019-00159	5 Oct (Sat)	TBC	6 Sep (Fri)	
Financial Planning and Wealth Management (Credit: 20)	7	Training	HQRM007PP190501	2, 9, 16, 23, 30 May (Thu)	19:00 – 22:00	25 Apr (Thu)	3,750 ¹
		Examination ³ (Multiple Choice)	EXAM-2019-00161	9 Aug (Fri)	19:00 - 22:00	10 Jul (Wed)	1,020 ¹
		Examination ³ (Case & Oral)	EXAM-2019-00162	22 Jun (Sat) ⁴	10:00 - 17:00	23 May (Thu)	
			EXAM-2019-00163	20 Jul (Sat) ⁴	10:00 - 17:00	21 Jun (Fri)	
			EXAM-2019-00164	24 Aug (Sat)	10:00 - 17:00	25 Jul (Thu)	

Special Note: According to the accreditation of HKCAAVQ on “Professional Certificate for ECF on Retail Wealth Management (RWM), an average learner is expected to spend 3 to 4 months to complete the respective training and examination of individual modules.

Enhanced Competency Framework (ECF) on Retail Wealth Management

2019 Training and Examination Schedule (3rd Intake)

Training  - IA CPD  - HKIB CPD  - SFC CPT  - ECF CPD  - WAM Programme²

Module Name	Module No	Application Type	Code	Date	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training	HQRM001PP190702	3, 10, 17, 24, 31 Jul (Wed)	19:00 – 22:00	26 Jun (Wed)	3,750 ¹
		Examination	EXAM-2019-00144	22 Aug (Thu) ⁴	19:00 – 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00146	5 Oct (Sat)	TBC	6 Sep (Fri)	
Investment Planning (Credit: 20)	2	Training	HQRM002PP190704	12, 19, 26 Jul; 2, 9 Aug (Fri)	19:00 – 22:00	5 Jul (Fri)	3,750 ¹
		Examination	EXAM-2019-00147	22 Aug (Thu) ⁴	19:00 – 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00160	5 Oct (Sat)	TBC	6 Sep (Fri)	
Essentials of Banking (Credit: 20)	3	Training	HQRM003PP190705	15, 23, 30 Jul (Mon, Tue); 6, 13 Aug (Tue)	19:00 – 22:00	8 Jul (Mon)	3,750 ¹
		Examination	EXAM-2019-00149	2 Sep (Mon) ⁴	19:00 – 21:30	2 Aug (Fri)	1,020 ¹
			EXAM-2019-00186	5 Oct (Sat)	TBC	6 Sep (Fri)	
			EXAM-2019-00188	4 Nov (Mon)	19:00 – 21:30	4 Oct (Fri)	
Insurance and Retirement Planning (Credit: 20)	4	Training	HQRM004PP190703	8, 16, 22, 29 Jul (Mon, Tue); 5 Aug (Mon)	19:00 – 22:00	28 Jun (Fri)	3,750 ¹
		Examination	EXAM-2019-00151	22 Aug (Thu) ⁴	19:00 – 21:30	23 Jul (Tue)	1,020 ¹
			EXAM-2019-00154	5 Oct (Sat)	TBC	6 Sep (Fri)	
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training	HQRM005PP190706	25 Jul; 1, 8, 15, 22 Aug (Thu)	19:00 – 22:00	18 Jul (Thu)	3,750 ¹
		Examination	EXAM-2019-00155	5 Sep (Thu) ⁴	19:00 – 21:30	6 Aug (Tue)	1,020 ¹
			EXAM-2019-00156	5 Oct (Sat)	TBC	6 Sep (Fri)	
			EXAM-2019-00190	8 Nov (Fri)	19:00 – 21:30	9 Oct (Wed)	
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training	HQRM006PP190801	30 Aug (Fri); 9, 16, 26 Sep (Mon, Thu); 3 Oct (Thu)	19:00 – 22:00	23 Aug (Fri)	3,750 ¹
		Examination	EXAM-2019-00159	5 Oct (Sat) ⁴	TBC	6 Sep (Fri)	1,020 ¹
			EXAM-2019-00191	6 Nov (Wed)	19:00 – 21:30	7 Oct (Mon)	
			EXAM-2019-00192	18 Dec (Wed)	19:00 – 21:30	19 Nov (Tue)	
Financial Planning and Wealth Management (Credit: 20)	7	Training	HQRM007PP190707	26 Jul; 2, 9, 16, 23 Aug (Fri)	19:00 – 22:00	19 Jul (Fri)	3,750 ¹
		Examination ³ (Multiple Choice)	EXAM-2019-00193	7 Nov (Thu)	20:45 – 22:00	8 Oct (Tue)	1,020 ⁶
			EXAM-2019-00195	26 Oct (Sat)	10:00 – 17:00	27 Sep (Fri)	
		Examination ³ (Case & Oral)	EXAM-2019-00196	23 Nov (Sat)	10:00 – 17:00	24 Oct (Thu)	


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Programme Details:

- Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C)
(unless other venue specify in training class confirmation / examination attendance notice)
- Enrolment: By fax at (852) 2544 9946 or via Email at application@hkib.org or submit to our institute in person

Note:

1. HKIB student members can enjoy 25% off training class fee discount and 50% off examination fee discount.
2.  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.
3. Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
4. The exam serves for re-sit only.
5. A late entry fee of HK\$200 will apply for late training or examination enrolment.
6. The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are \$1,020 respectively.
7. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

For Enquiries:

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